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09/974112

EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER EL327553108USDATE OF DEPOSIT 10/31/01TYPE OF DOCUMENT Missing RequirementsSERIAL NO. 09/974,112 FILING DATE Herein

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Mirav Sorda
(PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Mirav Sorda
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : MORI, et al.
International Application No. : PCT/JP00/01127
International Filing Date : February 25, 2000
U.S. Serial No. : 09/914,112
For : **COATING COMPOSITION CAPABLE OF
FORMING ALKALI-SOLUBLE LUBRICATING
FILM SUITABLE FOR SHAPE FORMING AND USE
THEREOF**

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Attention: DO/EO/US

**RESPONSE TO MISSING REQUIREMENTS
UNDER 35 U.S.C. 371**

S I R :

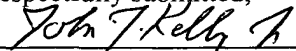
In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (mailed October 9, 2001), Applicant submits herewith a fully executed Declaration, in order to complete the filing requirements for the U.S. national phase of the above-identified PCT application. The application filed in the Patent Office is the application which the inventor executed by signing the Declaration and Power of Attorney. A copy of the Notification of Missing Requirements is also enclosed.

The Office is authorized to charge the \$130.00 processing fee to cover the surcharge for late filing of the Declaration. In response to the additional claim fees in the amount of \$360, which includes the multiple dependent claim fee and additional claims over 20, Applicants are concurrently filing a Supplemental Amendment eliminating multiple dependencies, a copy of which is enclosed.

As set forth in the attached Inventors' Declaration, the correct English Language spelling of the last name of the second inventor is Yamasaki (not Yamazaki) and the correct English Language spelling of the first name of the fourth inventor is Ryosuke (not Ryousuke).

Finally, the Office is hereby authorized to charge Deposit Account No. 11-0600 with any additional fees required or credit any overpayment. An additional copy of this letter is enclosed for this purpose.

Respectfully submitted,



John J. Kelly, Reg. No. 29,182

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Fax: (212) 425-5288

Date: *October 31, 2001*

EXPRESS MAIL LABEL NO.: *EL 327553108 US*

<input checked="" type="checkbox"/> Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov OCT 12 5 41 PM '01 KENYON ONE BROADWAY NEW YORK, N.Y.		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,112	Yoichiro Mori	52433/659

26646
 KENYON & KENYON
 ONE BROADWAY
 NEW YORK, NY 10004

INTERNATIONAL APPLICATION NO.	
PCT/JP00/01127	
IA. FILING DATE	PRIORITY DATE
02/25/2000	02/26/1999

CONFIRMATION NO. 9472
371 FORMALITIES LETTER



• Date Mailed: 10/09/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$360** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$360**
 - **\$90** for 9 total claims over 20.
 - **\$270** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,112	PCT/JP00/01127	52433/659